JAMES BALES FINANCIAL, LLC

CONFIDENTIAL

Documents Needed for the Profile Meeting

The following documents will be needed for study and analysis as we work together to create a Financial Fitness Profile® for you. It is understood that this material will be treated confidentially and returned when the Profile is completed, or earlier if requested.

Most Recent Payroll Stub

- ☐ Self
- ☐ Spouse

Income Tax Returns – Previous Two Years

- ☐ Self
- ☐ Spouse (if filing separately)

Wills/Trusts

- ☐ Self
- ☐ Spouse

Insurance/Annuity Contracts & Statements

- ☐ Life
- ☐ Health
- ☐ Disability
- ☐ Group Insurance
- ☐ Annuities

Current Financial Statements

- ☐ Personal Net Worth
- ☐ Monthly Expenses
- ☐ Business Balance Sheet

Savings and Retirement Statements

- ☐ Social Security
- ☐ Pension Plan/Profit Sharing
- ☐ Keogh/SEP
- ☐ 401(K)/TSA/EDC
- ☐ IRA
- ☐ Savings
- ☐ Mutual Fund & Brokerage Accounts

Company Benefit Statements/Booklets

- ☐ Self
- ☐ Spouse

Business Documents

- ☐ Buy/Sell Agreement
- ☐ Deferred Compensation
- ☐ Wage Continuation
- ☐ Group Insurance
- ☐ Employment Agreement
- ☐ Group Benefit Programs
- ☐ Other Employer Paid Benefits